

# FACTS

## WHAT DOES Northpoint Wealth Financial Services, LLC (Northpoint) DO WITH YOUR PERSONAL INFORMATION?

<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.
<b>What?</b>	<p>The types of personal information we collect and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>• Social Security Number • Income • Bank Information, bank account holder information • Account balances and transaction history • Account transactions and checking account information • EIN, and other tax related information • Copies of legal identification documents including but not limited to passports, ID cards, utility bills etc. • Payment history, credit history, assets, investment experience, overdraft history, purchase history, risk tolerance, credit card or other debts, mortgage rates and payments; retirement assets, employment information, wire transfer instructions • Corporate structure, net worth, controlling person information, other items relevant to satisfy KYC and AML policy and procedure, and The US Patriot Act.</li> </ul> <p>When you are <i>no longer</i> our customer, we continue to share your information as described in this notice.</p>
<b>How?</b>	All financial companies need to share <b>client's</b> personal information to run their everyday business. In the section below, we list the reasons financial companies can share their <b>client's</b> personal information; the reasons <b>Northpoint</b> chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Northpoint share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	No	We don't share
<b>For joint marketing with other financial companies</b>	No	We don't share
<b>For our affiliates' everyday business purposes—</b> information about your transactions and experiences	Yes	No
<b>For our affiliates' everyday business purposes—</b> information about your creditworthiness	No	We don't share
<b>For nonaffiliates to market to you</b>	No	We don't share

<b>Questions?</b>	Call 866.420.7315 or go to e-mail <a href="mailto:gene.bishop@northpointwealth.com">gene.bishop@northpointwealth.com</a> with "Privacy" in subject line.
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## Who we are

Who is providing this notice?

Northpoint Wealth Financial Services, LLC (Northpoint)

## What we do

How does **Northpoint** protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

However, as with any provider that offers you access to send and retrieve information on-line, the security of your information depends in part on the security of your computer, device, internet service provider, and your activities, all of which are beyond Northpoint's control.

How does **Northpoint** collect my personal information?

We collect your personal information, for example, when you

- **Open an account** or Give us your contact information
- **Direct us to buy securities,** or direct us to sell securities,
- **Make deposits or withdrawals from your accounts,**
- Make a wire transfer, • Show your government issued ID • Tell us about your investments, retirement portfolios, retirement goals. Our provider may obtain your personal information from credit bureaus, affiliates, or other companies to confirm your ID per The US Patriot Act.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for nonaffiliates to market to you

State laws and individual companies may give you additional rights to limit sharing.

## Definitions

**Affiliates**

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- *Our affiliates may contain Northpoint in their name, e.g. Northpoint Wealth • Financial Services, LLC, Northpoint Wealth Management, LLC, Northpoint Wealth Insurance Design, LLC.*

**Nonaffiliates**

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- *Custodians and insurance companies and software companies to process your accounts and or financial plan. They may be investment companies which you specifically request.*

**Joint marketing**

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- *Northpoint does not jointly market.*

## Other important information

This Privacy Policy will evolve with time. When we update it, we will revise the "Last Revised" date above and post the new Policy. In some cases, we provide additional notice (such as adding a statement to our services or sending you a notification). To stay informed of our privacy practices, we recommend you review the Privacy Policy on a regular basis as you continue to use our Services.